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水型			Applica	ation Number	09/769,22	23 /	/17	
TRANSMITTAL FORM  (text) used for all correspondence after initial filing)		Filing Date		January 24, 2001				
		First Named Inventor		Raoul E. Benveniste, et al.				
		Art Unit		1648				
TIE -			Exami	ner Name	Jeffrey S.	Parkin		
Total Number of Pages in Submission	n This	29+	Attorne	ey Docket Number	015280-1	96310US		
		ENC	LOSURE	S (Check all that appl	'y)			
Fee Transmittal For	n	☐ Drawin				Allowance C	ommunication to Group	
Fee Attached		Licensi	ng-relate	d Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment Under 3 Expedited Procedure Exa 1648		Petition			Appeal Communication to Group (Notice of Appeal)			
After Final		Petition to Convert to a Provisional Application		Proprietary Information				
Affidavits/decla	ration(s)			of Attorney, Revocation e of Correspondence Address		Status Letter		
Extension of Time Request		nal Disclaimer		Other Enclosure(s) (please identify below):				
Express Abandonment Request		est for Refund lumber of CD(s)		Affidavit of Dr. Raoul E. Benveniste Under 37 CFR 1.131				
☐ Information Disclosu	re Statement			-	Return Pos	stcard		
Certified Copy of Priority Document(s) Remai		rks	The Commissioner is Account 20-1430.	authorized to	charge any	additional fees to Deposit		
Response to Missing Incomplete Application				•			,	
Response to Munder 37 CFR		,						
				ICANT, ATTORNEY	OR AGEN	T		
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Signature	train	m	-					
Date A	pril 5, 2004		· ·					
		CERTIFIC	ATE OF	TRANSMISSION/M	AILING			
hereby certify that this corres as first class mail in an envelo	pondence is being for pe addressed to: Co	csimile trans	mitted to to or Patents,	he USPTO or deposited with P.O. Box 1450, Alexandria	th the United St a, VA 22313-14	tates Postal S 50 on the date	ervice with sufficient postage e shown below.	
Typed or printed name	Kimberly Ros				•	<u></u>		
Signature				Rox	Date	April 5, 2	004	

SE JO	FEE TRANSMITTAL	Complete if Known				
(A)	for FY 2004	Application Number	09/769,223			
of and		Filing Date	January 24, 2001			
Re.	Effective 10/01/2003. Patent fees are subject to annual revision.	First Named Inventor	Raoul E. Benveniste, et al.			
ATENT &	Applicant claims small entity status. See 37 CFR 1.27	Examiner Name	Jeffrey S. Parkin			
TIENTE		Art Unit	1648			
	TOTAL AMOUNT OF PAYMENT (\$) 750	Attorney Docket No.	015280-196310US			

METHOD OF PAYMENT (check all that apply)				FEE C	ALCULATION (continued)	
Check Credit Card Money Order Other None	3. ADD	ITIONAL I	FEES I			
Deposit Account:	Large	Entity	Small	Entity	,	
Deposit Account 20-1430	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townsend and Crew LLP	1053	130	1053	130	Non-English specification	
Name /	1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	420
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid	1.204	1,400				
Code (\$) Code (\$)	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1401	330	2401	165	Notice of Appeal	330
1002 340 2002 170 Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee	1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1)	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Fee from	1502	480	2502	240	Design issue fee	
Extra Claims below Fee Paid	1503	640	2503	320	Plant issue fee	
Total Claims -** = X =	1460	130	1460	130	Petitions to the Commissioner	
Independent	1807	50	1807	50	Petitions related to provisional applications	
Claims	1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent X Small Entity	8021	40	8021	40	Recording each patent assignment per	
Fee Fee Fee					property (times number of properties)	
Code (\$) Code (\$)  1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not paid  1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	Request for Continued Examination (RCE)	
over original patent  ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	_
	Othor f	no (nnooif.)			·	
SUBTOTAL (2) (\$)		Other fee (specify)				<u> </u>
**or number previously paid, if greater; For Reissues, see above	*Reduce	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)750				

SUBMITTED BY			Complete (if applicable)			
Name (Print/Type)	Frank J. Mycroft	Registration No. (Attorney/Agent)	46,946	Telephone	925-472-5000	
Signature	Tak.	12-		Date	April 5, 2004	

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,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first classes of in an envelope addressed to:

AMENDMENT UNDER 37 CFR 1.116
an envelope addressed to:

EXPEDITED PROCEDURE –

EXAMINING GROUP 1648

Mail Stop AF

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**PATENT** 

Attorney Docket No.: 015280-196310US

Client Ref. No.: E-054-94/2

on APRIL 5, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: Hunberly Rosa

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Raoul E. Benveniste, et al.

Application No.: 09/769,223

Filed: January 24, 2001

For: METHOD OF INDUCING CELL-MEDIATED PROTECTIVE IMMUNITY AGAINST HIV USING LOW DOSES OF IMMUNOGENS

Customer No.: 20350

Confirmation No.

Examiner:

Jeffrey S. Parkin

Technology Center/Art Unit: 1648

AMENDMENT UNDER 37 CFR 1.116 EXPEDITED PROCEDURE EXAMINING

**GROUP 1648** 

Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed November 4, 2003 on the above-referenced application, please enter the following amendments and remarks. Applicants also submit herewith an Affidavit with Appendices A through E.

Applicants submit herewith a petition for an extension of time to the present date with payment of the appropriate fees.

Applicants also submit a Notice of Appeal.

**PATENT** 

Appl. No. 09/769,223 Amdt. dated April 5, 2004 Amendment under 37 CFR 1.116 Expedited Procedure Examining Group

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 5 of this paper.